

CLIENT AGREEMENT

This Agreement is made and entered into on thisday of Two Thousand and.....
(.....) at Colombo.

By and Between

(1)<Name>[bearing National Identity Card No./Company registration No)of <Address>

(2)[bearing National Identity Card No.of.....

(3) [bearing National Identity Card No.of.....(hereinafter sometimes jointly and severally referred to as the “Client/s”) of the **One Part**.

And

ASIA SECURITIES (PRIVATE) LIMITED a company duly incorporated under the laws of Sri Lanka bearing Company registration No. PV 1924 and having its registered office at 4th Floor , Lee Hedges Tower, 349, Galle Road, Colombo 3 (hereinafter referred to as “**the Stockbroker Firm**” which term or expression has herein used shall where the context requires or admits mean and include the said **ASIA SECURITIES (PRIVATE) LIMITED**, its successors and permitted assigns) of the **Other Part**;

The Client/s and the Stockbroker Firm shall hereinafter be collectively referred to as “Parties” and each individually as “Party”.

WHEREAS the Stockbroker Firm is a Member/Trading Member of the Colombo Stock Exchange (hereinafter referred to as the ‘**CSE**’) and is licensed by the Securities and Exchange Commission of Sri Lanka (hereinafter referred to as the ‘**SEC**’) to operate as a Stockbroker;

AND WHEREAS the Client/s is/are desirous of trading on the securities listed on the CSE through the said Stockbroker Firm and the Stockbroker Firm agrees to provide such services to the Client/s in accordance with the applicable Rules of the CSE, CDS, SEC and other applicable laws of Sri Lanka.

NOW THEREFORE THIS AGREEMENT WITNESSETH and it is hereby agreed by and between the Parties hereto as follows:

1.0 RIGHTS AND RESPONSIBILITIES OF THE CLIENT/S

- 1.1 Subject to clause 1.5 below;
- a) In the event of a Joint Account, the Client/s shall provide to the Stockbroker Firm, the name/s of the persons;
 - authorized to give trading orders and settlement instructions; and,
 - to whom payments by the Stockbroker Firm are to be made.
 - b) In the event of a Corporate Client Account, the Client shall provide to the Stockbroker Firm, the name/s of specific directors and officers authorized to;
 - trade in securities; and,
 - execute all documentation for trading and settlement in the account, together with a copy of the Board resolution certified by the Company Secretary evidencing same.

The aforesaid person/s shall hereinafter be referred to as ‘authorized person/s’.
- 1.2 The Client/s shall notify the Stockbroker Firm in writing, if there is any change in the contact and/or other information provided by the Client/s to the Stockbroker Firm, within seven (7) calendar days of such change.
- 1.3 Subject to clause 1.5 below, in the event the Client/authorized person(s) (as applicable) intends to purchase and/or sell securities, the Client/authorized person(s) (as applicable) shall give specific order instructions to the Investment Advisor (an employee of the Stockbroker Firm, who is certified by the CSE/SEC to deal with Clients) assigned to deal with the Client/s regarding same.
- 1.4 The Client/authorized person(s) (as applicable) authorize/s the Stockbroker Firm to accept order instructions given by the Client/authorized person(s) (as applicable) to the Stockbroker Firm pertaining to the CDS Account of the Client/s through electronic means and other means including telephone, Short Message Service (SMS), E-mail and Fax. The order instructions provided by the Client/authorized person(s) (as applicable) through aforesaid means shall not be revoked or withdrawn by the Client/authorized person(s) (as applicable) after the



execution of the order and shall therefore be confirmed.

- 1.5 If the Client/s intends the Stockbroker Firm to use the Stockbroker Firm's own judgment, expertise and discretion to buy and/or sell securities on behalf of the Client/s, the Client/s shall provide the prior written authorization to the Stockbroker Firm for same.

The said written authorization provided by the Client/s to the Stockbroker Firm shall clearly include the following;

Name of the Client/s and the CDS Account Number;

- Effective Date of the authorization;
- Applicable period of the authorization;
- Investment objective (short time, long term, trading in any specific industry, any other specifications); and,
- Purpose of giving discretion to the Registered Investment Advisor.

- 1.6 The Client/s shall ensure that cleared funds are made available to the Stockbroker Firm in respect of the securities purchased by the Stockbroker Firm on behalf of the Client/s, by 09.00 hours on the settlement date of such purchase transaction and if the Client/s fail/s to make payment as aforesaid, the Stockbroker Firm may, at its absolute discretion, charge an interest commencing from the day after the settlement date at a rate decided by the Stockbroker Firm, but not exceeding 0.1% per day as specified in the Stockbroker/Stock Dealer Rules of the CSE.

The Client/s shall accept the liabilities arising from all authorized transactions executed in the CDS Account of the Client/authorized person(s) (as applicable) by the Investment Advisor.

- 1.7 If the Client/s has/have a complaint against the Stockbroker Firm relating to a particular transaction/s, the Client/s shall first refer such complaint to the Compliance Officer of the Stockbroker Firm, in writing, within a period of three (3) months from the date of the transaction/s.

Where the Client/s is/are not satisfied with the decision given by the Stockbroker Firm or the manner in which the complaint was dealt with by the Stockbroker Firm, the Client/s may refer the complaint to the CSE, in writing, in accordance with the Procedure set out by the CSE (which is available on the CSE website, www.cse.lk).

- 1.8 The Client/s agree/s that the Stockbroker Firm may, at its absolute discretion, sell not only the securities in respect of which payment has been defaulted by the Client/s, but also any other securities lying in the CDS Account of the Client/s in respect of which payment has been made by the Client/s, in full or part, in order to enable the Stockbroker Firm to recover the monies due to the Stockbroker Firm from the Client/s including interest and other applicable charges.

- 1.9 The Client/s shall not;

- a. use any funds derived through illegal activity for the purpose of settling purchases of securities to the Client's CDS Account.
- b. enter into any verbal or written agreement/s with the employee/s of the Stockbroker Firm to share profits arising from the transactions carried out on behalf of the Client/s by the Stockbroker Firm.

2.0 RIGHTS AND RESPONSIBILITIES OF THE STOCKBROKER FIRM

2.1 Subject to clause 2.3 below;

- a) In the event of a Joint Account, the Stockbroker Firm shall obtain from the Client/s, the name/s of the persons;
 - authorized to give trading orders and settlement instructions; and,
 - to whom payments by the Stockbroker Firm are to be made.
- b) In the event of a Corporate Client Account, the Stockbroker Firm shall obtain from the Client/s, the name/s of specific directors and officers authorized to;
 - trade in securities; and,
 - execute all documentation for trading and settlement in the account, together with a copy of the Board resolution certified by the Company Secretary evidencing same.
- c) the Stockbroker Firm shall carry out all transactions based on the specific order instructions provided by the Client/authorized person(s) (as applicable) through the communications channels specified in clause 1.4 of this Agreement.



- 2.2 Prior to accepting any orders from a third party on behalf of the Client/s, the Stockbroker Firm shall first obtain the written authorization of the Client/s empowering the third party to trade on behalf of the Client/s through the Client's CDS Account.
- 2.3 The Stockbroker Firm shall not exercise the discretion to buy or sell securities on behalf of the Client/s, unless the Client/s has/have given prior written authorization to the Stockbroker Firm to effect transactions for the Client/s without his/their specific order instructions as set out in clause 1.5 of this Agreement.
- 2.4 The Stockbroker Firm shall send to the Client/s a note confirming the purchase and/or sale of securities (bought/sold note) by the end of the trade day (T).
Upon obtaining the prior consent of the Client/s, the Stockbroker Firm may send the bought/sold notes to the Client/s in electronic form to the e-mail address provided by the Client/s for such purpose.
- 2.5 The Stockbroker Firm shall send a Statement of Accounts to the Client/s who is/are debtor/s over Trade Day + 2 (T+2), on a monthly basis by the 7th day of the following month. This should apply when the client/s has/have had transactions during the month and the "interest charged on delayed payment" should also be considered as a transaction for this purpose. Such Statement of Accounts shall specify the transactions in the account including receipts and payments during the month under reference
- 2.6 In the event the Statements of Accounts are issued electronically, the Stockbroker Firm shall obtain the consent of the Client/s and retain evidence of such consent.
- 2.7 The Stockbroker Firm shall provide a copy of its latest Audited Financial Statements filed with the CSE to a Client/s, upon request by such Client/s.
- 2.8 The Stockbroker Firm shall communicate in writing, directly with its Client/s in respect of statements, bought/sold notes or any other information unless the Client/s has/have authorized the Stockbroker Firm otherwise in writing.
- 2.9 The Stockbroker Firm shall ensure that 'cleared funds' are made available to the Client(s) /authorized person(s) (as applicable) on the settlement date, unless the Client/s has/have expressly permitted the Stockbroker Firm, in writing, to hold the sales proceeds for future purchases.
- 2.10 Upon the request of the Client/s, the Stockbroker Firm may:
- a) extend credit facilities to the Client/s solely for the purpose of purchasing securities on the CSE and in accordance with the applicable Rules set out in the CSE Stockbroker Rules and terms and condition mutually agreed to between the Client/s and the Stockbroker Firm by way of a written agreement for extension of such facilities.
 - b) provide internet trading facilities to such Client/s based on a written agreement mutually agreed between the Client/s and the Stockbroker Firm, in accordance with the requirements applicable to Internet Trading published by the CSE from time to time.
- 2.11 The Stockbroker Firm shall assign a Registered Investment Advisor to deal with the Client/s and shall inform such Client/s regarding the name and contact details of the Registered investment Advisor assigned to such Client/s. Further, the Stockbroker Firm shall inform the Client in writing regarding any change to the Registered Investment Advisor within seven (7) Calendar Days of such change.
- 2.12 The Stockbroker Firm shall forthwith notify the Client/s in writing, if there is any material change in contact or other information provided to the Client/s by the Stockbroker Firm.
- 2.13 The Stockbroker Firm undertakes to maintain all information of the Client/s in complete confidence and the Stockbroker Firm shall not disclose such information to any person except in accordance with the Stockbroker Rules of the CSE.
- 2.14 The Stockbroker Firm shall disclose to the Client/s, the existence of any incentive scheme applicable for employees of the Stockbroker Firm, which is based on turnover generated from the transactions carried out by the employees for the Client/s.
- 2.15 The Stockbroker Firm may recover any outstanding balance arising from the purchase of securities of the Client/s from the sales proceeds due to the buyer only in the circumstances set out in the Stockbroker Rules of the CSE.



2.16 The Stockbroker Firm shall provide services to the Client/s in compliance with the applicable Rules of the CSE, CDS, SEC and other applicable laws of Sri Lanka.

3.0 RISK DISCLOSURE STATEMENT

3.1 The Stockbroker Firm agrees that a member of its staff who is authorized by the Board of Directors of the Stockbroker Firm to make declarations on behalf of the Stockbroker Firm has explained the applicable Risk Disclosures to the Client/s and has executed the declaration set out in Schedule 1 hereto in proof of same and such Schedule 1 shall form part and parcel of this Agreement.

3.2 The Client/s agree/s and acknowledge/s that he/she/it has understood the Risk Disclosures explained by the Stockbroker Firm and executed the Acknowledgement set out in Schedule 2 hereto and such Schedule 2 shall form part and parcel of this Agreement.

4.0 INDEMNITY AND LIMITATION OF LIABILITY

4.1 Each Party hereto, agrees to indemnify, defend and hold harmless the other Party against any loss, liability, damages, claims and costs, which each such Party may sustain by reason of negligence and/or breach of the terms and conditions hereof committed by the other Party hereto or its representatives. The aggrieved Party shall be entitled to enforce its/his/her indemnity rights by injunction or other equitable relief in any competent court of law in Sri Lanka.

4.2 The Client/s agrees/s that the Stockbroker Firm will not be liable for any losses arising out of or relating to any cause which is beyond the control of the Stockbroker Firm.

5.0 TERMINATION

5.1 This Agreement shall forthwith terminate, if the Stockbroker Firm for any reason ceases to be a Member/Trading Member of the CSE or if the license issued to the Stockbroker Firm by the SEC is cancelled.

5.2 The Parties shall be entitled to terminate this Agreement upon giving notice in writing of not less than fourteen (14) calendar days to the other Party.

5.3 Notwithstanding any such termination, all rights, liabilities and obligations of the Parties arising out of or in respect of the transactions entered into prior to the termination of this Agreement shall continue to be in force.

6.0 GENERAL

6.1 Words and expressions which are used in this Agreement, but which are not defined herein shall, unless the context otherwise requires, have the same meaning as assigned thereto in the Rules of the CSE, SEC and other applicable laws of Sri Lanka.

6.2 The terms and conditions contained in this Agreement shall be subject to the applicable Rules, Regulations, Guidelines and Directions issued by SEC, Rules and Circulars of the CSE and other applicable laws of Sri Lanka.

In the event of any contradiction between the terms and conditions hereof and the applicable Rules, Regulations, Guidelines and Directions issued by SEC, Rules and Circulars of the CSE or other applicable laws of Sri Lanka, the applicable Rules, Regulations, Guidelines and Directions issued by SEC, Rules and Circulars of the CSE or other applicable laws of Sri Lanka (as applicable) shall prevail.

IN WITNESS WHEREOF the Parties to the Agreement have set their respective hands here to of the same tenor and date as herein above mentioned.

Signature of the Client(s)/Authorized Signatory of the Client(s)

Authorized Signatory of the Stockbroker Firm

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DECLARATION

I, <name of the Advisor in block letters>, an employee of **ASIA SECURITIES (PVT) LTD**, who is duly authorized by the Board of Directors of the Stockbroker Firm to make declarations on its behalf hereby confirm that the following risks involved in investing/trading in securities listed on the Colombo Stock Exchange ('Risk Disclosure Statements') were clearly explained by me to <Name/s of the Client/s> ('the Client/s') and invited the Client/s to read the below mentioned Risk Disclosure Statements, ask questions and take independent advice if the Client/s wish/es to:

- a) The prices of securities fluctuate, sometimes drastically and the price of a security may depreciate in value and may even become valueless.
- b) It is possible that losses may be incurred rather than profits made as a result of transacting in securities.
- c) It is advisable to invest funds that are not required in the short term to reduce the risk of investing.

Signed on behalf of the Stockbroker Firm by

Signature : (RIA)
 Designation : Registered Investment Advisor (RIA)
 Date :

ACKNOWLEDGEMENT

I/We, (1)<Name> [bearing National Identity Card No. / Company registration No] of <Address> (2) [bearing National Identity Card No.....] of and(3) [bearing National Identity Card No.] of agree and acknowledge that the following risks involved in investing/trading in securities listed on the Colombo Stock Exchange ('Risk Disclosure Statements') were explained to me/us by, an employee (RIA) of **ASIA SECURITIES (PVT) LTD** ('Stockbroker Firm'), and I/we was/were invited to read the below mentioned Risk Disclosure Statements, ask questions and take independent advice if I/we wish to.

Additionally, I/we acknowledge that I/we understood the following Risk Disclosure Statements;

- a) The prices of securities fluctuate, sometimes drastically and the price of a security may depreciate in value and may even become valueless.
- b) It is possible that losses may be incurred rather than profits made as a result of transacting in securities.
- c) It is advisable to invest funds that are not required in the short term to reduce the risk of investing.

(1) (2) (3)
 Signature/s of the Client/s.

.....
 Date



KNOW YOUR CUSTOMER (KYC) PROFILE
(To be retained by the Participant)

1. ஓடிப்பின் கரண லேவன. (✓யோடா ஸலகலு கரன்தன.) வழங்கப்பட்ட ஆவணங்கள் என அடையாளமிடவும்) Documents Provided (Please mark placing a ✓)			
உலளே ஸரிஹேடுகலய ஸதுக ஸுதீ஢ ஸடல. / ஁டங்கன் வாடிக்கலயாளரின் விபரங்களுக்காக / For KYC	Main Holder	1 st Joint Holder	2 nd Joint Holder
ஸாநல ஸடலுதீ஢ / தேசிய அடலயாள அடடல / National Identity Card	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
லுலன் லலஸலுய / கடவுச்சீட்டு / Passport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ரீ஁டரூ லலஸலுய (ஸாநல ஸடலுதீ஢/லுலன் லலஸலுய ஡ேகல ஢லுலுதீ லலல னலலூரூ கரலீன் ஡ீலூரூதீ ஸுலலயன் ஡லல லீ.) ஸாரதீ அனுதீ஢த்தீரம் (தே.அ.அ/கடவுச்சீட்டு இல்லாததல ஁றுதீ ஸலயவதற்கு ஸத்தியக் ஸுற்று இணைக்கப்பட வேண்டும்) Driving License (An Affidavit is required confirming the fact that both NIC/ Passport are not available.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ஸடீ஢ல ஸலா஢ கீரீ஢ ஸடல / வதீவிடத்தை நரூ஢ீ஢தற்காக / For Proof of Residency	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ஸாநல ஸடலுதீ஢ / தேசிய அடலயாள அடடல / National Identity Card	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
லூலு / ஢ுலு஢ னா஢ீ஢ ஸுலலய / வங்கி/கடன் அடடல ஸுற்று / Bank/ Credit card Statement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
டூ஢லல விடல஢ / தலலலலலலலல ஢ட்டலயல் / Telephone Bill	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
வீடலீ/ஸல விடல஢ / மின்ஸார/நீர்ப்பட்டலயல் / Electricity/Water Bill	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
லீலல஡ீ஢ லடல ஡ீ஢லு / ஢தீவு ஸலயலப்பட்ட குத்தகல ஁ட஢஢டிக்கல / Registered Lease Agreement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ஸுல஡ீ஢ல லேகல வீ஢ீன் ஡ுற஡ன்ஸன் னலல லடல லுல ஢லல ஸலதீகல / ஢ிரதேஸ ஸலலலாளரீனால அத்தல஢ீ஢஢ுத்தப்பட்ட கரராம் ஸலலலயாளர் ஸான்றீதம் / Gramasevaka Certificate certified by the Divisional Secretary	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
லல ஸலலலலல ஸலலலலலலலல லல வீ஢ ஸலல ஢ீ஢ல ஸீ஢ீ஢ ஓலல லேலல ஢லுலுதீ னல. ஡டல லலலலலல லல ஡லலலலல வீ஢ீன் னலல ஢லல லடல லீ஢ல / நரூ஢ீ஢஢ுக்காக ஡லலல ஆவணங்கள் இல்லாத தலுடல வேலலயலட்கள் தலுடல மேற஢ார்லலலலலலல ஸுறங்க஢்பட்ட கடிதம் / Letter issued by superintendent of a plantation estate in respect of estate workers who have no other documentary proof.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
லலலன் லேலல (஢லுலலலல ஸடலன் ஢லலன.) / ஡தேலுல ஡லலல ஆவணம் (தயலு ஸலயது குறீ஢ீ஢லலல) / Any Other Document (Please Specify).....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

஢லு லேலல, ஃ.஢ீ.஢ீ. ஡ீ஢லு லீலல கீரீ஢ல ஸலலலல ஓடிப்பின் கீரீ஢ல ஡ீ஢ ஃ஢ ஢ல னலல (3) ஡ுறலல ஓடிப்பின் கல ஁றுல. இ஢த ஆவணங்கள் CDS கணக்கு ஆர஢஢ீத்தல் ஢டிவத்தை ஸமர஢஢ீக்கீன்ற திகதீயலலலலல (3) மாதங்களுக்குள் ஢ெற஢்பட்டதாக இருக்க வேண்டும்.

These documents should be within (3) months as of the date of submission of the CDS Account opening form.

2. ஸடீ஢ல லீ஢லல (ஸரீ஡ுலல) ஸலலலலல : (✓ யோடா ஸலகலு கரன்தன.) வதீவிட முகவரீயலன் தன்மல: (வளலு) (✓ என அடலயாளமிடலல) Status of Residency Address: (Premises) (Please mark placing a ✓)			
	Main Holder	1 st Joint Holder	2 nd Joint Holder
னலலலல ஡லல / ஁ரீமலயாளர் / Owner	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
஢லலல ஸலல / ஢ெற஢ுருடன் / With parents	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
லடல/லுல ஸடலல ஢ல / குத்தகல/வாடகல / Lease / Rent	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
஢லலலலலல / ஡ுலலலல ஡லல / நண஢ர்கலீன்/஁றுலலலலலலல / Friend's / Relative's	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
லலலலலல / னலலலல ஢லல / ஁றலலலல/தங்குமலல / Board / Lodging	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
஢ல லலலலல ஸடலல ஢ல / ஁த்தீயலக஢ூர்லலலலல / Official	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
லலலன் ஸலலலல (஢லுலலலல ஸடலன் ஢லலன.) / ஡லலல இடங்கள் (தயலு ஸலயது குறீ஢ீ஢லலல) / Other places (Please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Continued...

<p>3. ද්විත්ව පුරවැසිභාවය පිළිබඳ විස්තර. (✓ යොදා සලකුණු කරන්න.) இரட்டை-குடியரிமை விபரங்கள் (✓ என அடையாளமிடவும்) Dual-citizenship Details (Please mark placing a ✓)</p>	Main Holder	1st Joint Holder	2nd Joint Holder
රට / நாடு / Country 1: ගමන් බලපත්‍ර අංකය / கடவுச்சீட்டு இல / Passport No.:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
රට / நாடு / Country 2: ගමන් බලපත්‍ර අංකය / கடவுச்சீட்டு இல / Passport No.:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
රට / நாடு / Country 3: ගමන් බලපත්‍ර අංකය / கடவுச்சீட்டு இல / Passport No.:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<p>4. ඔබ, ඇප්.ජයේ විදේශ ගිණුම් බඳු අනුකූලතා පනත යටතේ ඇප්.ජයේ තැනැත්තෙක් ද? (✓ යොදා සලකුණු කරන්න.) நீங்கள் ஒரு அமெரிக்க வெளிநாட்டு கணக்கு வரி இணக்கச் சட்டத்துக்கு (FATCA) உட்படுகின்ற அமெரிக்க பிரஜையா? (✓ என அடையாளமிடவும்) Are you a US person under the Foreign Account Tax Compliance Act (FATCA) of the US? (Please mark placing a ✓)</p>	Main Holder	1st Joint Holder	2nd Joint Holder
ඔව්. (පිළිගර ඔව් නම්, අයදුම් පත්‍රය සමඟ එක් පනතේ අදාළ ප්‍රකාශය ඉදිරිපත් කළ යුතුය.) ஆம் (ஆமெனின், விண்ணப்பப்படிவத்துடன் FATCA பிரகடனத்தை சமர்ப்பிக்க வேண்டும்) Yes (If yes, FATCA declaration has to be submitted along with application form)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
නැත (මම/අපි ඇප්.ජයේ විදේශ ගිණුම් බඳු අනුකූලතා පනත යටතේ ඇප්.ජයේ තැනැත්තෙක් බවට පත්වෙන්නේ නම් එම කාරණය සහනාගිවනනාට වහාම දැනුම් දීමට මම/අපි පොරොන්දු වෙමි/වෙමු.) இல்லை (அமெரிக்க சட்டத்தின் கீழ் ஓர் அமெரிக்கப் பிரஜையாக மாறுகின்ற சந்தர்ப்பத்தில் நான்/நாம் உடனடியாக சொல்லப்பட்ட தகவல்களை பங்குபற்றுபவருக்கு அறிவிப்பதற்கு பொறுப்பேற்றுக் கொள்கின்றேன்/கொள்கின்றோம்) No (In the event if I/We become a US person under FATCA of US, I/ We do hereby undertake to inform the said fact to the Participant immediately)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<p>5. රක්ෂාව (✓ යොදා සලකුණු කරන්න.) தொழில் (✓ என அடையாளமிடவும்) Employment (Please mark placing a ✓)</p>	Main Holder	1st Joint Holder	2nd Joint Holder
සේවා නියුක්ත / ,தொழில் செய்பவர் / Employed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ස්වයං රැකියා නියුක්ත / சுய தொழில் செய்பவர் / Self Employed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
වෘත්තිය/ව්‍යාපාරයේ ස්වභාවය / வாழ்க்கைத்தொழில்/வியாபாரத்தின் தன்மை / Occupation / Nature of Business			
ව්‍යාපාරයේ/සංවිධානයේ නම / வியாபாரத்தின் பெயர்/நிறுவனம் / Name of the Business / Organization			
කාට්යාලයේ ලිපිනය / அலுவலக முகவரி / Office Address			
දුරකථන අංකය / தொலைபேசி / Telephone			
ෆැක්ස් අංකය / தொலைநகல் / Fax			
විද්‍යුත් තැපෑල / மின்னஞ்சல் / E-mail			

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6. வர்ப்பாக அபேக்ஷித அபேக்ஷித வர்ப்பாக (√ யோடா ஸலகஷ் கரநி.) வருடத்து எதிர்பார்க்கப்படுகின்ற முதலீட்டின் பெறுமதி (✓ என அடையாளமிடவும்) Expected Value of Investment per annum (Please mark placing a √)	Main Holder	1 st Joint Holder	2 nd Joint Holder
ரூ. 100,000 க்கு கீழ் / 100,000 ரூபாய்க்கு குறைவான தொகை / Less than Rs. 100,000 /	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ரூ. 100,000 க்கு மேல் ரூ. 500,000 க்கு கீழ் / 100,000 – 500,000 ரூபாய் / Rs 100,000 to Rs 500,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ரூ. 500,000 க்கு மேல் ரூ. 1,000,000 க்கு கீழ் / 500,000 – 1,000,000 ரூபாய் / Rs 500,000 to Rs 1,000,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ரூ. 1,000,000 க்கு மேல் ரூ. 2,000,000 க்கு கீழ் / 1,000,000 – 2,000,000 ரூபாய் / Rs 1,000,000 to Rs 2,000,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ரூ. 2,000,000 க்கு மேல் ரூ. 3,000,000 க்கு கீழ் / 2,000,000 – 3,000,000 ரூபாய் / Rs 2,000,000 to Rs 3,000,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ரூ. 3,000,000 க்கு மேல் ரூ. 4,000,000 க்கு கீழ் / 3,000,000 – 4,000,000 ரூபாய் / Rs 3,000,000 to Rs 4,000,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ரூ. 4,000,000 க்கு மேல் ரூ. 5,000,000 க்கு கீழ் / 4,000,000 – 5,000,000 ரூபாய் / Rs 4,000,000 to Rs 5,000,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ரூ. 5,000,000 க்கு மேல் ரூ. 10,000,000 க்கு கீழ் / 5,000,000 – 10,000,000 ரூபாய் / Rs 5,000,000 to Rs 10,000,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ரூ. 10,000,000 க்கு மேல் / 10,000,000 ரூபாய்க்கு மேல் / Over Rs 10,000,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

7. அடிக்கட்டிட உலகு (√ யோடா ஸலகஷ் கரநி.) நீதியீட்டங்களுக்கான மூலம் (✓ என அடையாளமிடவும்) Source of funds (Please mark placing a √)	Main Holder	1 st Joint Holder	2 nd Joint Holder
வருமானம் / சம்பளம்/இலாப வருமானம் / Salary / Profit Income	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
அபேக்ஷித லாப உட்கட்டிடம் / முதலீட்டுப் பெறுகைகள்/ சேமிப்புகள்/ Investment Proceeds / Savings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
விற்பனை மற்றும் வணிக வர்ப்பாக / விற்பனைகள் வியாபாரப் புரள்வு / Sales and Business Turnover	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
கொண்டிட்டு லாப உட்கட்டிடம் / ஒப்பந்தப் பெறுகைகள் / Contract Proceeds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
சொத்து/வசதிகளை விற்கும் / ஆதனங்கள்/ சொத்துக்கள் விற்பனை / Sales of Property/Assets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
வசதிகள் / அன்பளிப்புகள் / Gifts	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
பரிசு / பரிசு (உள்நாட்டு/வெளிநாட்டு) / நன்கொடைகள்/அறக்கட்டளைகள் (உள்நாட்டு/வெளிநாட்டு) / Donations / Charities (Local / Foreign)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
கமிஷன் லாப உட்கட்டிடம் / தரகு வருமானம் / Commission Income	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
குடும்ப அனுப்பீடுகள் / குடும்ப அனுப்பீடுகள் / Family Remittance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ஏற்றுமதி லாப உட்கட்டிடம் / ஏற்றுமதி மூலம் பெறுகைகள் / Export proceeds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
சமூக சேவை உட்கட்டிடம் / அங்கத்துவப் பங்களிப்புகள் / Membership contribution	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
பிற (கருவிகளைக் குறிப்பிடவும்) / ஏனையவை (தயவு செய்து குறிப்பிடவும்) / Others (Specify)			

8. பிற தொடர்புடைய வணிக/புரட்சிகர கட்டிடம் / ஏதேனும் ஏனைய தொடர்புடைய வியாபாரங்கள்/ தொழில்சார் செயற்பாடுகள் / Any other connected Businesses/ Professional activities



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9. දේශපාලනයට සම්බන්ධ තැනැත්තන් (✓ යොදා සලකුණු කරන්න.) / அரசியல் ரீதியாக தொடர்புடைய நபர்கள் (PEPs) (✓ என அடையாளமிடவும்) / Politically Exposed Persons (PEPs) (Please mark placing a ✓)	Main Holder	1 st Joint Holder	2 nd Joint Holder
<p>ඔබට දේශීය වශයෙන් ප්‍රමුඛ පෙළේ මහජන කටයුත්තක් පවරා තිබෙන හෝ පවරා ඇති තැනැත්තන් වන්නේ ද? නිදසුනක් වශයෙන් ඊජයේ හෝ ආණ්ඩුවේ නායකයන්, ජ්‍යෙෂ්ඨ දේශපාලඥයන්, රාජ්‍ය, අධිකරණ, හෝ සුදුසේවයේ ජ්‍යෙෂ්ඨ නිලධාරීන්, ඊජයට අයිති සංස්ථාවල ජ්‍යෙෂ්ඨ විධායක නිලධාරීන්, වැදගත් දේශපාලන පක්ෂයක නිලධාරීන් ද යන වග - පිලිතුර "ඔව්" නම්, කරුණාකර පැහැදිලි කරන්න</p> <p>நீங்கள் முக்கியத்துவம் வாய்ந்த பொதுத் தொழிற்பாடுகளோடு உள்ளநாட்டுரீதியாக பொறுப்பளிக்கப்பட்ட தனிப்பட்டவர்களா? உதாரணமாக நாட்டின் அல்லது அரசின் தலைவர்கள், சிரேஷ்ட அரசியல்வாதிகள், சிரேஷ்ட அரச, நீதித்துறை அல்லது இராணுவ அதிகாரிகள், அரச கூட்டுத்தாபனங்களின் சிரேஷ்ட நிறைவேற்றினர்கள், முக்கிய அரசியல் கட்சி அதிகாரிகள் ஆமெனின் விபரங்களைக் குறிப்பிடவும்</p> <p>Are you individuals who are or have been entrusted domestically by a with prominent public functions? For example, Heads of State or of government, senior politicians, senior government, judicial or military officials, senior executives of state owned corporations, important political party officials. If "Yes" please explain.</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>ඔබට විදේශීය රටකින් ප්‍රමුඛ පෙළේ මහජන කටයුත්තක් පවරා තිබෙන හෝ පවරා ඇති තැනැත්තන් වන්නේ ද? නිදසුනක් වශයෙන් ඊජයේ හෝ ආණ්ඩුවේ නායකයන්, ජ්‍යෙෂ්ඨ දේශපාලඥයන්, රාජ්‍ය, අධිකරණ, හෝ සුදුසේවයේ ජ්‍යෙෂ්ඨ නිලධාරීන්, ඊජයට අයිති සංස්ථාවල ජ්‍යෙෂ්ඨ විධායක නිලධාරීන්, වැදගත් දේශපාලන පක්ෂයක නිලධාරීන් ද යන වග - පිලිතුර "ඔව්" නම්, කරුණාකර පැහැදිලි කරන්න</p> <p>நீங்கள் முக்கியத்துவம் வாய்ந்த பொதுத் தொழிற்பாடுகளோடு வெளிநாட்டுஎன்றினால் பொறுப்பளிக்கப்பட்ட தனிப்பட்டவர்களா? உதாரணமாக நாட்டின் அல்லது அரசின் தலைவர்கள், சிரேஷ்ட அரசியல்வாதிகள், சிரேஷ்ட அரச, நீதித்துறை அல்லது இராணுவ அதிகாரிகள், அரச கூட்டுத்தாபனங்களின் சிரேஷ்ட நிறைவேற்றினர்கள், முக்கிய அரசியல் கட்சி அதிகாரிகள் ஆமெனின் உறவுமுறையைக் குறிப்பிடவும்</p> <p>Are you individuals who are or have been entrusted with prominent public functions by a foreign country? For example, Heads of State or of government, senior politicians, senior government, judicial or military officials, senior executives of state owned corporations, important political party officials. If "Yes" please explain the relationship</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>ඔබට සාප්තම (පෙළපත්තීන් හෝ) හෝ විවාහයෙන් හෝ ඊට සමාන (සිවිල්) නවුලකාරවයෙකින් හෝ දේශපාලනයට මැදිහත් තැනැත්තකු සමඟ සම්බන්ධ වන තැනැත්තන් වන්නේ ද? පිලිතුර "ඔව්" නම්, කරුණාකර පැහැදිලි කරන්න</p> <p>நீங்கள் நேரடியாக (இரத்த உறவு) அல்லது திருமணத்தினூடாக அல்லது அதேபோன்ற (குடியியல்) பங்குடமை வடிவங்களில் அரசியல் ரீதியாக தொடர்புடையவர்களுடன் நெருங்கிய உறவைக் கொண்டுள்ள தனிப்பட்டவர்களா? ஆமெனின் உறவு முறையைக் குறிப்பிடவும்</p> <p>Are you individuals who are related to a PEP either directly (consanguinity) or through marriage or similar (civil) forms of partnership? If "Yes" please explain the relationship</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>ඔබට සමාජමය වශයෙන් හෝ වෘත්තීමය වශයෙන් දේශපාලනයට මැදිහත් තැනැත්තකු සමඟ සම්බන්ධතාවයකින් දක්වන වන තැනැත්තන් වන්නේ ද? පිලිතුර "ඔව්" නම්, කරුණාකර පැහැදිලි කරන්න</p> <p>நீங்கள் சமூக ரீதியாக அல்லது தொழிற்துறை ரீதியாக அரசியல் ரீதியாக தொடர்புடைய நபர்களுடன் நெருங்கிய உறவைக் கொண்டுள்ள தனிப்பட்டவர்களா? ஆமெனின் உறவு முறையைக் குறிப்பிடவும்</p> <p>Are you individuals who are closely connected to a PEP, either socially or professionally? If "Yes" please explain the relationship</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

10. අවදානම වර්ගීකරණය/ இடர் வகைப்பிரிப்பு/Risk Categorization (කාර්යාලයීය ප්‍රයෝජනය සඳහා පමණි. / அலுவலகப் பாவனைக்கு மாத்திரம்/ Office use only)	Main Holder	1 st Joint Holder	2 nd Joint Holder
	L <input type="checkbox"/> M <input type="checkbox"/> H <input type="checkbox"/>	L <input type="checkbox"/> M <input type="checkbox"/> H <input type="checkbox"/>	L <input type="checkbox"/> M <input type="checkbox"/> H <input type="checkbox"/>


11. සුරැකුම්පත් ගිණුම සම්බන්ධයෙන් # සහභාගිකරුට උපදෙස් දීම සඳහා බලය ලත් පුද්ගලයා/පුද්ගලයින් (ගිණුම් හිමියාට අමතරව වෙනත් පුද්ගලයෙකු/පුද්ගලයින් ගිණුම සම්බන්ධයෙන් උපදෙස් ලබා දෙන්නේ නම් හෙතෙම බලය පැවරීමේ පත්‍රිකාව / අචර්ඪි බලපත්‍රය අමුණන). / பங்குபற்றநருக்கு அறிவுறுத்தல்கள் வழங்குவதற்கு அங்கீகரிக்கப்பட்ட நபரின்/நபர்களின் பெயர்/பெயர்கள் (ஏற்புடையதாக இருந்தால் அட்டோணி தத்துவப்பத்திரம்/பதிலிப்பத்திரம் இணைக்கவும்)/ Name of the person(s) authorized to give instructions to the # Participant (Please Attach a duly certified copy of Power of Attorney – if applicable):

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සහභාගිකරු යනු මවෙහි කොටස් නැරඹිකරු හෝ හාස්කරු බැංකුව වේ. / பங்குபற்றுநர் என்பவர் உங்களது பங்குத்தரகர் அல்லது காப்பக வங்கி./ Participant means, your Stockbroker or Custodian Bank.

12. වෙනත් කරුණු /සටහන් (වෙනත්). / ஏனைய குறிப்புகள் (ஏதேனும் இருந்தால்) / Other remarks / notes (if any)

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Name		Signature	NIC
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